

PATENT  
85ER-00155

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark Benson et al.

Serial No.: 09/645,928

Filed: August 25, 2000

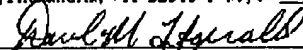
For: INSURANCE POLICY RENEWAL  
METHOD AND SYSTEM

Art Unit: 3626

Examiner: Bui, Kim T.

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 13, 2003.

  
Daniel M. Fitzgerald, Reg. No. 38,880

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

Applicants respectfully request the filing receipt in the above-referenced Patent

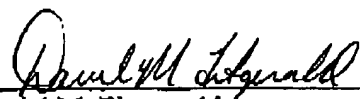
Application be corrected to set forth the attorney docket number as follows:

The attorney docket number is 85ER-00155.

A copy of the filing receipt is attached reflecting the requested correction.

Applicants further request that a new, corrected filing receipt be issued.

Respectfully submitted,

  
Daniel M. Fitzgerald  
Registration No. 38,880  
ARMSTRONG TEASDALE LLP  
One Metropolitan Square, Suite 2600  
St. Louis, Missouri 63102-2740  
(314) 621-5070



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/645,928	08/25/2000	2176	920	<del>F2180-</del> <del>006405-</del> 85ER-00155	8	16	4

CONFIRMATION NO. 4140

CORRECTED FILING RECEIPT

\*OC000000006581802\*

\*OC000000006581802\*

John C Kerins  
Miles & Stockbridge PC  
1751 Pinnacle Drive  
Suite 500  
McLean, VA 22102

RECEIVED

SEP 25 2001

MILES & STOCKBRIDGE PC  
TYSONS CORNER

Date Mailed: 09/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Mark Benson, Robbinsville, NJ;  
Dean Watters, Larchmont, NY;  
George Kowalsky, Yardley, PA;  
John Goldwater, Manassas, VA;  
Michael Healey, Milltown, NJ;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/151,042 08/27/1999

## Foreign Applications

If Required, Foreign Filing License Granted 10/16/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

## Title

Insurance policy renewal method and system

Preliminary Class  
707

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Data entry by : DUCKETT, GOIGA

Team : 1600

Date: 09/20/2001

\*715300964592801092000\*

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

T2180-906495  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Benson et al. :  
Serial No.: 09/645,928 : Art Unit: 3626  
Filed: August 25, 2000 : Examiner: Kim T. Bui  
For: INSURANCE POLICY :  
RENEWAL METHOD AND :  
SYSTEM :

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

1. Transmitted herewith is:  
Transmittal (3 pgs., in duplicate); Amendment in Response to Office Action dated April 10, 2003 (26 pgs.); Return post card

STATUS

2. Applicant  
☐ claims small entity status.  
☒ is other than a small entity.

CERTIFICATE OF MAILING BY EXPRESS MAIL TO  
THE COMMISSIONER FOR PATENTS

Express Mail No. EV339989076US

Date: October 10, 2003

I hereby certify that the documents listed above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Daniel M. Fitzgerald, Reg. No. 38,880

**EXTENSION OF TERM**

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☒ Applicant petitions for an extension of time under 37 C.F.R. 1.136  
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
<input type="checkbox"/> first month	\$ 110.00	\$ 55.00
<input type="checkbox"/> second month	\$ 420.00	\$ 210.00
<input checked="" type="checkbox"/> third month	\$ 950.00	\$ 475.00
<input type="checkbox"/> fourth month	\$1,480.00	\$ 740.00
<input type="checkbox"/> fifth month	\$2,010.00	\$ 1,005.00

Fee Due \$ 950.00

If an additional extension of time is required, please consider this a petition therefor.  
(Check and complete the next item, if applicable)

- ☐ An extension of \_\_\_\_\_ months has already been secured. The fee paid therefor \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 950.00.

**OR**

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

**FEE FOR CLAIMS**

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NO. PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA	SMALL ENTITY ADDITIONAL RATE FEE	OR	OTHER THAN SMALL ENTITY ADDITIONAL RATE FEE
TOTAL INDEP.	32	MINUS	20	-12	x \$9 = \$		x \$18 = \$216.00
	5	MINUS	4	= 1	x \$42 = \$		x \$84 = \$ 84.00
— FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$140 = \$		+ \$280 = \$
					TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$300.00

(a) ☐ No additional fee for Claims is required

OR

(b) ☒ Total additional fee for claims required \$ 300.00

**FEE PAYMENT**

5. ☐ Attached is a check in the sum of \$ \_\_\_\_\_

☒ Charge Deposit Account No. 01-2384 the sum of \$1,250.00.  
A duplicate of this transmittal is attached.

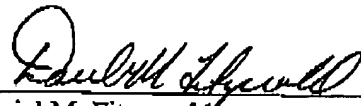
**FEE DEFICIENCY**

6. ☒ If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

AND/OR

☒ If any additional fee for claims is required, charge Deposit Account No. 01-2384.

7. ☐ Other:

  
\_\_\_\_\_  
Daniel M. Fitzgerald  
Reg. No. 38,880  
ARMSTRONG TEASDALE LLP  
One Metropolitan Square, Suite 2600  
St. Louis, MO 63102  
314/621-5070



**ARMSTRONG TEASDALE LLP**

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Fax: (314) 621-5065  
www.armstrongteasdale.com

**Date:** January 5, 2004

**Please Deliver To:**

**Name:** Kim Bui

**Firm:** USPTO

**Tel:** 703-305-5874

**Fax:** 703-746-9263

**Client/Matter #:** 60709-39

**From:** Daniel M. Fitzgerald

**Total pages including cover page: 37**

*If all pages are not received, please contact Tracy Moss at ext. 7251*

**Re: U.S. Patent Application Serial No. 09/645,928**

Ms. Bui,

As we discussed, attached is a copy of the response to the Office Action dated April 10, 2003 in connection with the above referenced patent application. Also attached is a copy of the postcard returned from the USPTO in connection with the filing of this response. In addition, I have attached a copy of the Request for Correction of Filing Receipt that was recently mailed to the USPTO since you do not yet have a copy of it in your file.

Please contact me if you have any questions or concerns.

Daniel M. Fitzgerald  
Reg. No. 38,880

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THE UNITED STATES PATENT OFFICE IS REQUESTED TO IMPRESS ITS STAMP ON THIS CARD AND PLACE SAME IN THE OUTGOING MAIL TO SHOW THE FOLLOWING PAPERS HAVE BEEN RECEIVED.

Atty Dkt. No.: T2180-906495 (60709-00039)

Applicants: Benson et al.

Serial No.: 09/645,928

Filed: August 25, 2000

Art Unit: 3626

For: INSURANCE POLICY RENEWAL METHOD AND SYSTEM

Enclosed:

Transmittal (3 pgs., in duplicate)

Amendment in response to Office Action dated April 10, 2003 (26 pgs.)

Return post card

DMF/rgm

Express Mail Label No.: EV339989076US

Mailed October 10, 2003

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Atty Dkt. No.: 85ER-00155 (60709-00039)  
Applicants: Benson et al.  
Serial No.: 09/645,928  
Filed: August 25, 2000  
Art Unit: 3626  
For: INSURANCE POLICY RENEWAL METHOD AND SYSTEM

Enclosed:  
Request for Correction of Filing Receipt (4 pgs.)  
Return post card

DMF/rgm  
Mailed November 13, 2003